

Ct. Ex 11  
2/16/22  
10:43am

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

-v.-

ADAM MATTESSICH,

Defendant.

18 Civ. 5884 (KPF)

**VERDICT FORM**  
**February 15, 2022**

1. Has the plaintiff, the Securities and Exchange Commission, proved by a preponderance of the evidence that the defendant, Adam Mattessich, aided and abetted Cantor Fitzgerald's violation of the Compensation Record Rule?

YES ☒ NO ☐

*(Please proceed to the next page.)*

Your deliberations are finished. This form should be signed, dated, and given to the Marshal.

1. [Signature] 2/16/22  
Foreperson
2. Pini Doty 2/16/22  
Juror
3. [Signature] 2/16/22  
Juror
4. L. Kerrigan 2/16/22  
Juror
5. [Signature] 2/16/22  
Juror
6. [Signature] 2/16/2022  
Juror
7. [Signature] 2/16/22  
Juror
8. Kathy Roberts 2/16/2022  
Juror
9. Gerard Remond 2/16/2022  
Juror
10. [Signature] 2/16/2022  
Juror